



1651
JAN 19 2001
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| | | | |
|--------------|---|-----------------|------------------|
| Applicant(s) | Kay et al. | Examiner: | Unassigned |
| Serial No.: | 09/650,055 | Group Art Unit: | 1651 |
| Filed: | August 29, 2000 | Docket: | 1040-5 |
| For: | Composition and Method for Treatment of Conditions Having an Inflammatory Component | Dated: | January 11, 2001 |

Assistant Commissioner for Patents
Washington, DC 20231

*I hereby certify this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to:
Assistant Commissioner for Patents, Washington, D.C.
20231 on January 11, 2001*

Dated: 1/11/01 Kathleen A. Q.

INFORMATION DISCLOSURE STATEMENT

Sir:

In order to fulfill the requirements of candor and good faith set forth in 37 C.F.R. §1.56, Applicants submit herewith the following Information Disclosure Statement in accordance with the provisions of 37 C.F.R. §1.97 and §1.98.

UNITED STATES PATENTS

| <u>PATENTEE</u> | <u>PATENT NO.</u> | <u>ISSUE DATE</u> |
|------------------------|--------------------------|--------------------------|
| Rovati | 3,683,076 | Aug. 8, 1972 |
| Capozza | 3,911,098 | Oct. 7, 1975 |
| Prudden | 4,006,224 | Feb. 1, 1977 |
| Shen | 4,228,161 | Oct. 14, 1980 |
| Meisner | 4,647,453 | Mar. 3, 1987 |

RECEIVED
JAN 19 2001
TECH CENTER 1600/2000

UNITED STATES PATENTS (Continued)

| <u>PATENTEE</u> | <u>PATENT NO.</u> | <u>ISSUE DATE</u> |
|------------------|-------------------|-------------------|
| Kifune | 4,704,268 | Nov. 3, 1987 |
| Meisner | 4,772,591 | Sep. 20, 1988 |
| Henderson | 5,364,845 | Nov. 15, 1994 |
| Shell | 5,582,837 | Dec. 10, 1996 |
| Henderson | 5,587,363 | Dec. 24, 1996 |
| Oshlack et al. | 5,681,585 | Oct. 28, 1997 |
| Drizen et al. | 5,716,631 | Feb. 10, 1998 |
| Dunn | 5,736,152 | Apr. 7, 1998 |
| Florio | 5,840,715 | Nov. 24, 1998 |
| Burger | 5,843,919 | Dec. 1, 1998 |
| Vournakis et al. | 5,846,952 | Dec. 8, 1998 |
| Aoyagi et al. | 5,849,336 | Dec. 15, 1998 |
| Pinkus | 5,855,915 | Jan. 5, 1999 |
| Weisman | 5,888,514 | Mar. 30, 1999 |
| Rose et al. | 5,916,565 | June 29, 1999 |
| Ashida et al. | 5,994,324 | Nov. 30, 1999 |
| Drizen et al. | 6,007,843 | Dec. 28, 1999 |
| Myers et al. | 6,020,002 | Feb. 1, 2000 |
| Murch et al. | 6,046,179 | Apr. 4, 2000 |

NON-PATENT PUBLICATIONS

RECEIVED
JAN 19 2001
TECH CENTER 1600/2000

1. Baron et al., "Glucosamine Induces Insulin Resistance In Vivo By Affecting GLUT 4 Translocation in Skeletal Muscle," *J. Clin. Investigation, Inc.*, 96:2792-2801, (December 1995).
2. McClain et al., "Hexosamines and Insulin Resistance," *Diabetes*, 45:1003-1009, (1996).
3. Talent et al., "Pilot Study of Oral Polymeric N-acetyl-D-glucosamine as a Potential Treatment for Patients with Osteoarthritis (ABSTRACT)," *Clin. Ther.*, 18(6):1184-90, (Nov.-Dec. 1996).
4. Rege et at., "Chitosans as Tableting Excipients for Modified Release Delivery Systems (ABSTRACT)," *Int. J. Pharm.*, 181 (1):49-60, (April 20, 1999).

The above-referenced documents are listed on PTO Form 1449. We have enclosed the cited documents to facilitate reference to them.

Applicants are not aware of any other references to be identified at this time. If the Examiner has any questions or comments relating to the present application, he or she is respectfully invited to contact Applicants' attorney at the telephone number set forth below.

Respectfully submitted,



Robert C. Morris
Registration No.: 42,910
Attorney for Applicant(s)

HOFFMANN & BARON, LLP
6900 Jericho Turnpike
Syosset, New York 11791
(516) 822-3550

RCM:kd
115934_1.DOC